

# **Momentum**

# Personal details declaration and self-certification

# Your instruction form

- 1. This form must be completed, when transactions are completed on behalf of the annuity owner/annuitant, investor/member or when updates to the following information are required:
  - Name(s) (proof required);
  - Physical address (proof required);
  - Communication details; and/or
  - Tax information:
- Complete only the section(s) on which you require the update. The new information will be updated across all your existing investments.
- 3. This form can be used by
  - a Guaranteed Annuities annuity owner/annuitant;
  - Momentum Collective Investments (RF) (Ptv) Ltd (MCI): and
  - Momentum Wealth (Pty) Ltd (Momentum Wealth) individual investor/member.
- 4. You may not change any part of this form. When you correct any information you have completed, sign next
- 5. Complete all the information on the form to ensure that there are no delays in the processing of your
- 6. Based on the information you provide, we may ask for additional information and documents.
- If we cannot process any part of this instruction, we will inform you or your financial adviser.

# Guide to completing this

- If you are completing this form on behalf of a minor, we require the minor's birth certificate or proof of your appointment as an authorised representative.
- Complete a separate form for each instruction.

## **Foreign Account** Tax Compliance Act (FATCA)

The Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) allow for the efficient exchange of information between South Africa and other participating countries. Tax legislation requires us to obtain tax and other information on all persons and entities that have tax obligations outside of South Africa. For more information, visit the South African Revenue Service (SARS) website.

### Politicallyexposed person or a prominent influential person

The Financial Intelligence Centre Act requires that we know if you are an influential person as explained in the Act.

A politically exposed person is an individual who is or has been entrusted with prominent public functions in South Africa (domestic politically-exposed person) or in a foreign country (foreign politically-exposed person) for example, a senior politician, high ranking member of the military or police force, leader of a foreign political party, any individual who has sole beneficial ownership of a corporate vehicle set up for the actual benefit of the prominent person or an individual who is related to a politically-exposed or prominent influential person.

A prominent influential person is an individual who holds or has held the position of chairperson of the board, chairperson of the audit committee, CEO, or CFO in a company that provides goods and services to the state.

	Annuity/inve	estment number								
I act as Annuity owner/annuitant	Authorised representative	Chief executive officer	Controlling person Curator/executor							
Founder of a trust	Investor	Member	Parent/legal guardian Power of attorney							
Shareholder	Trustee	Trust beneficiary	Other, give details							
Officiologi	Hustoc	Trust belieficially	Cutor, give details							
1 Personal details										
Title	Initials	First name(s)								
Surname		Previous surnam	e(s)							
ID/Passport number (if foreign national)			Date of birth D D M M Y Y Y Y							
Expiry date of passport	D D M M Y Y Y	Passport country of issue								
Nationality		Country of birth								
Citizenship		Country of residence								
Physical address	Unit number	Complex								
	Street number	Street name/farm								
	Suburb/district	City/to	wn							
	Postal code		Country code							
2 Communication details										
Details of the contact person										
Title	Initials	Name and surname								
Capacity of the contact person	IIIIIdis	Name and Sumame								
Cell phone number		Other								
Email address		Other								
To protect your information and ensure	sneedy delivery, we will communic	ate with you electronically								
To proteot your miormation and onbaro	opoday delivery, we will communic	ato with you diodioniouny.								
3 Politically-exposed or a	prominent influential per	son								
Indicate which of the following applies to	you: You are a	You are a family memb	er of or known close associate to a							
Domestic politically-exposed person										
Foreign politically-exposed person										
Prominent influential person										
None of the above										
If you are a politically-exposed or promi	nent influential person, provide the	position held								
If you are a family member or known clo	ose associate to a politically-expos	ed or prominent influential pers	son, provide their details below:							
Name	Surname	Pos	sition held							

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4	Source of income/v	/ea	Ith or source	of fund	ls							
Tall	us where the manay you use t		nnort vouroolf oor	ma fram \	(au may indicate mare t	thon						
Tell	us where the money you use to Salary/royalties	) Su	Savings	ne irom. Y	ou may indicate more	ınan		nsatio	n/divorce settlement			
	Sale of investment/property		Import and/or ex	nort husin	2200		Business activities/					
	Policy as a beneficiary			•	claim/replacement)				er or beneficiary) proceeds			
	Trust		Sale of other ass		. , [		Loan	iombe	or beneficiary) proceeds			
				SetS/dollar	lion/giit		LOAII					
\A/b;	Winnings (ie Lotto, casino, etc)		Other (specify)									
VVIII	ch country is the origin of your s	our	ce of furids?									
Wha	at is the nature of your busines	s or	employment sect	or?								
	Accommodation and food ser	vice	activities		Administrative and su	рро	rt service activities		Agriculture, forestry and fishing			
	Arms, defence, military or sec	curity	y service industrie	es	Arts, entertainment ar	nd re	ecreation		Banks, investment banking, bureau de change, brokerage service			
	Casinos, bookmakers, gambl	ing,	adult entertainme	ent	Commercial shipping				Construction			
	Consumer service activities				Dealing in precious st goods	one	s, metals or luxury	Education				
	Electricity, gas, steam and air	cor	ditioning supply		Financial and insuran	ce a	activities	Human health and social work activities				
	Information and communication				Internet gaming				Manufacturing			
	Mining and quarrying (excl South Africa)				Mining and quarrying (South Africa)				Professional, scientific and technical services			
	Public administration and compulsory social security			ty	Public infrastructure construction				Real estate activities			
	Retired, student or minor				Tobacco or cannabis industry			Transportation and storage				
	Unemployed				Water supply, sewerage, waste management and remediation activities				Wholesale and retail trade and repairs			
			Other (specify)									
5	Tax residency inform	na	tion									
5.1	Tax details											
Is th	ne investor a tax resident in So	uth.	Africa (SA)?	Yes	No							
If <b>'Y</b>	es', give the SARS tax number											
Is S	A the investor's primary tax re	side	nce country?	Yes	No							
If th	e investor's primary tax resider	ice (	country is not SA	or has a fo	oreign tax number, com	plet	e the section below.					
	residence Tax identif ntry code number(T		on or equivalent		Primary tax residence country	ence			gistration pplicable)			
							A	В	С			
							A B C					
ABC						С						
The	reason for not being TIN registe	ered	:	<del>-</del>	<del>_</del>							
Α	The country/jurisdiction does	not	issue TINs to its r	esidents (	does not apply to Sou	uth A	Africa or the United	l Stat	es)			
B The investor is not required to be registered for tax (even though income tax is levied by the jurisdiction)												
С	TIN is not required to be repo	rted	by such jurisdiction	on under l	ocal law (does not app	oly 1	to South Africa or t	he Ur	nited States)			

Annuity/investment number

Published: 11/2024 Momentum Investments/Personal details declaration & self-certification

Annuity/investment number								

# 5.2 Tax exemption details

### Dividend withholding tax

We must withhold dividend withholding tax from South African dividends that we receive for your investment. SARS allows certain investors to be exempt according to section 64F, 64H(2)(b) or 64H(3)(b)(i) of the Income Tax Act.								
Do you qualify for this exemption? Yes No								
If 'Yes', choose the reasons from the list below.								
Section 64F(1)(j) - a person who is not an SA tax resident, and the dividend is a dividend as per paragraph (b) of the definition of "dividend" in section 64D (ie a dividend on a foreign company's shares listed on a recognised South African stock exchange, such as dual-listed shares)								
Section 64F(1)(/) - any person to the extent that the dividend constitutes income of that person.  Note: if the investor is a tax resident in South Africa, the investor qualifies for this exemption on certain dividends (eg Real Estate Investment Trust (REIT)) and must select it to avoid double taxation.  SARS exemption code L								
SARS also allows for certain investors to qualify for a reduced dividend withholding tax rate based on Double Taxation Agreements.								
Do you qualify for a reduced rate? Yes No								
The requirements of Article of the Agreement for the Avoidance of Double Taxation and Prevention of Fiscal Evasion (DTA) in force between the Republic of South Africa and the investor's country of tax residence, and sections 64FA, 64G or 64H of the South African Income Tax Act no. 58 of 1962 have been met. Dividends must be taxed at a reduced rate of 0 0 . 0 %								
Interest withholding tax								
We must withhold interest withholding tax for foreign investors on interest received from a South African source that is subject to interest withholding tax. SARS allows certain investors to be exempt according to section 50D(3) of the Income Tax Act.								
Do you qualify for this exemption? Yes No								
If 'Yes', choose the reasons from the list below.								
50D(3)(a) – the beneficial owner of the investment is a foreign natural person who was physically present in the Republic of South Africa for a period exceeding 183 days in aggregate during the twelve month period preceding the date on which the interest is paid.								
50D(3)(b) – the debt claims for which that interest is paid is effectively connected with a permanent establishment of that foreign person in the Republic, if that foreign person is registered as a taxpayer in terms of Chapter 3 of the Tax Administration Act.								
SARS also allows for certain investors to qualify for a reduced interest withholding tax rate based on Double Taxation Agreements.								
Do you qualify for a reduced rate? Yes No								
The requirements of Article of the Agreement of the Avoidance of Double Taxation and Prevention of Fiscal Evasion (DTA) in force between the Republic of South Africa and the investors country of tax residence, and sections 50E(3) of the South African Income Tax Act no. 58 of 1962 have been met. Interest, which is subject to interest withholding tax, must be taxed at a reduced rate of 0 0 . 0 %								
The tax exemption or reduced rate reasons selected in Section 5.2 of this application are valid for a period of five years from completion date. The <b>Dividends Withholding Tax Renewal</b> and/or <b>Interest Withholding Tax Renewal</b> form must be completed every five years to ensure that your tax exemption details are kept up to date.								
6 Declaration								
I confirm that I am authorised to act on behalf of the annuity owner/annuitant or investor/member.								

- 2. I confirm that I am authorised to sign this instruction.
- 3. I understand and accept:
  - · your timelines, business practices and administrative processes; and
  - that you can share my personal information (as this term is defined in the Protection of Personal Information Act) within your holding company, its subsidiaries and contracted service providers in order to administer this investment.
- 4. I acknowledge that you may be required to send the information I provided in this form to SARS, who may share it with the local tax authority according to the Foreign Account Tax Compliance Act (FATCA) and the Organisation for Economic Co-operation and Development's (OECD) Common Reporting Standard (CRS).
- 5. I will let you know if any of the information I gave you changes.

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Momentum Investments/Personal details declaration & self-certification

	Annuity/investment number						
iable for any loss or damage if the in	nd and accept this instruction. The informatio nstruction you received was changed without	•				-	ou
Authorised signatory							
lame and surname	C	Capacity of signatory					
Signed at			Date	D D	M	YY	YY
Authorised signatory							
Other signatory (if required)							
lame and surname	C	apacity of signatory					
Signed at			Date	D D	MM	YY	YY

# Contact details

Other signature

### **Guaranteed Annuities**

ShareCall: 0860 266 848, Telephone: +27 (0)12 675 3000, Email: annuities@momentum.co.za

Momentum Metropolitan Life Limited is an authorised financial services and registered credit provider.

# **Momentum Collective Investments**

ShareCall: 0860 111 899, Telephone: +27 (0)12 675 3002, Email: ci.clientservice@momentum.co.za

Website: momentum.co.za/collectiveinvestments

Momentum Collective Investments (RF) (Pty) Ltd is an approved CISCA management company.

### **Momentum Wealth**

ShareCall: 0860 546 533, Telephone: +27 (0)12 675 3000, Email: wealthservice@momentum.co.za Momentum Wealth (Pty) Ltd is a registered FSCA category III administrative service provider.

Address: 268 West Avenue Centurion, 0157, Postal: PO Box 7400 Centurion, 0046, Website: momentum.co.za

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