momentum

Momentum Collective Investments (RF) (Pty) Ltd

New business application form for a proxy and minor or incapacitated person

Your application

1. Complete this form if you are a proxy, eg parent, legal guardian or a curator.
2. This application, the investment terms and the minimum disclosure documents MDDs of each portfolio (fund) are the basis of our agreement.
   The investment terms and the MDDs are available on momentum.co.za/collectiveinvestments.
3. You may not change any part of this form and the terms of this agreement. When you correct any information you have completed, sign next to it.
4. After we have reviewed your application, we will confirm your unique investment number that starts with RU.
5. Banking regulations limit collections to a maximum amount of R2 million. If you want to invest more than R2 million, the only available payment option is an electronic funds transfer (EFT) to our bank account.
6. When making your EFT payment, select **Momentum Collective (Pty) Ltd** from your bank's list of pre-approved public beneficiaries. Use your investment number, that starts with **'RU'** as the payment reference to ensure we allocate your payment correctly.
7. We will finalise your application once your payment reflects in our bank account and all requirements have been met.
8. Complete all the information on the form to ensure that there are no delays in the processing of your instruction.
9. Based on the information you provide, we may ask for additional information and documents.
10. If we cannot process any part of this application, we will inform you or your financial adviser.

Guide to completing this form

1. If the investment payer is not the proxy, the investment payer must complete the **Momentum Collective Investments Third-party investment payer details** form in addition to this form.
2. We require proof that you are the authorised proxy.

Payment information

1. If we cannot verify the bank details that you provide, we will ask you for official proof of this account not older than three months.
2. We can only collect from a South African bank account.
3. If you are paying via an EFT, we will require the proof of payment before we can finalise your application.
4. Two days notice is required to change or cancel a regular investment.
5. We may cancel a regular investment collection if a transaction cannot be completed due to two consecutive unpaid regular investment amount.
6. If we collect the investment amount, you can only ask for a withdrawal or refund after 60 days.

   Refund waiting period: 60-calendar days: Payments Association of South Africa (PASA) debit order dispute clearance period applies to transactions linked to funds received via debit order collection. These transactions include lump sum collections, regular investments, regular withdrawal payments and income payments.

Regular withdrawal rules

1. If you withdraw money and the remaining investment value after a withdrawal is less than an amount determined by us from time to time and you do not have an active debit order in place, we may end your investment and pay you the full balance.
2. If we pay your withdrawal amount into your bank account and your payment is returned for any reason, we will reinvest the money into the relevant fund(s). You will carry any risks regarding market movements and will be liable for any costs of the reinvestment.
3. The preferred date of withdrawal indicates the date the instruction will be processed. It can take up to three business days before the money reflects in your bank account.

Fund distributions

1. Fund declare distributions of interest and/or dividends earned.
2. The MDDs of each fund has details about the fund distribution information. Refer to momentum.co.za/collectiveinvestments
3. You can choose to reinvest these distributions or we can pay it into your bank account.
4. If the distribution value is below R250 in a particular fund, we will reinvest it into the same fund, even if you chose the pay-out option.
5. If you do not choose a pay-out option for your distribution, we will reinvest it in your relevant fund(s).

Foreign Account Tax Compliance Act (FATCA)

The Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) allow for the efficient exchange of information between South Africa and other participating countries. Tax legislation requires us to obtain tax and other information on all persons and entities that have tax obligations outside of South Africa. For more information, visit the South African Revenue Service (SARS) website.

Cut-off times

1. Please note that if an instruction and documents received are in order, the following cut-off times apply:
   - For money market funds the daily cut-off time is 10:00.
   - For all other funds the daily cut-off time is 14:00.
2. If we cannot process the transaction on the same day, it will take place on the next business day or at the earliest possible opportunity according to legislation, our administration processes and any other unit trust limitations. We will determine the fund's price at the confirmed day's net asset value (NAV) unit price.

Politically-exposed person or a prominent influential person

The Financial Intelligence Centre Act requires that we know if you are an influential person as explained in the Act.

A politically exposed person is an individual who is or has been entrusted with prominent public functions in South Africa (domestic politically-exposed person) or in a foreign country (foreign politically-exposed person) for example, a senior politician, high ranking member of the military or police force, leader of a foreign political party, any individual who has sole beneficial ownership of a corporate vehicle set up for the actual benefit of the prominent person or an individual who is related to a politically-exposed or prominent influential person.

A prominent influential person is an individual who holds or has held the position of chairperson of the board, chairperson of the audit committee, CEO, or CFO in a company that provides goods and services to the state.

General information

1. You will be able to view personal, investment information, get the investment statements and access tax certificates online at momentum.co.za. Your information is secure. Only you and your financial adviser can see your investments with us.
2. We use alphabetical country codes where needed. You can get a list of the country codes from South African Revenue Service (SARS).

1 Investor details

Investor's legal status | Minor ☐ | Incapacitated person ☐ | Other ☐ | Give more details

Provide information about the minor or person for whom this investment is being made.

1.1 Personal details

Title | Initials | First name(s)

Surname | Previous surname(s)

ID/Passport number (if foreign national) | Date of birth (ddmmyyy)

Passport expiry date (ddmmyyyy) | Passport country of issue

Nationality | Country of birth

Citizenship | Country of residence

1.2 Communication details

Cell phone number (incl country code) + | Other +

Email address

1.3 Politically-exposed or a prominent influential person

Indicate which of the following applies to you:	You are a	You are a family member of or known close associate to a
Domestic politically-exposed person	☐	☐
Foreign politically-exposed person	☐	☐
Prominent influential person	☐	☐
None of the above	☐	

If you are a politically-exposed or prominent influential person, provide the position held

If you are a family member or known close associate to a politically-exposed or prominent influential person, provide their details below:

Name | Surname | Position held

1.4 Investor's source of income/wealth

Tell us where the money you use to **support yourself** comes from. You may indicate more than one source:

☐ Salary/royalties	☐ Savings	☐ Inheritance/compensation/divorce settlement
☐ Sale of investment/property	☐ Import and/or export business	☐ Business activities/sale of business
☐ Policy as a beneficiary	☐ Policy benefit (matured/as a claim/replacement)	☐ Retirement fund proceeds (member or beneficiary)
☐ Trust	☐ Sale of other assets/donation/gift	☐ Loan
☐ Winnings (i.e Lotto, casino, etc)	☐ Other (specify)	

Which country is the origin of your source of funds?

What is the nature of the investor's business or employment sector?

☐ Accommodation and food service activities	☐ Administrative and support service activities	☐ Agriculture, forestry and fishing
☐ Arms, defence, military or security service industries	☐ Arts, entertainment and recreation	☐ Banks, investment banking, bureau de change, brokerage service
☐ Casinos, bookmakers, gambling, adult entertainment	☐ Commercial shipping	☐ Construction
☐ Consumer service activities	☐ Dealing in precious stones, metals or luxury goods	☐ Education
☐ Electricity, gas, steam and air conditioning supply	☐ Financial and insurance activities	☐ Human health and social work activities
☐ Information and communication	☐ Internet gaming	☐ Manufacturing
☐ Mining and quarrying (excl South Africa)	☐ Mining and quarrying (South Africa)	☐ Professional, scientific and technical services
☐ Public administration and compulsory social security	☐ Public infrastructure construction	☐ Real estate activities
☐ Retired, student or minor	☐ Tobacco or cannabis industry	☐ Transportation and storage

☐ Unemployed
☐ Wildlife trade
☐ Water supply, sewerage, waste management and remediation activities
☐ Other (specify)
☐ Wholesale and retail trade and repairs

1.5 Tax details

Is the investor a tax resident in South Africa (SA)? Yes ◯ No ◯

If **'Yes'**, give the SARS tax number

If you do not have a SARS tax number, select the reason for no tax number B D

Is SA the investor's primary tax residence country? Yes ◯ No ◯

Are you a tax resident or have a tax responsibility in another country? Yes ◯ No ◯

If **'Yes'**, complete this section.

Tax residence country code	Tax identification number(TIN) or equivalent	Primary tax residence country	Non-TIN registration reason (if applicable)
		◯	A B C
		◯	A B C
		◯	A B C

The reason for not being TIN registered:

A The country/jurisdiction does not issue TINs to its residents (**does not apply to South Africa or the United States**).

B The investor is not required to be registered for tax even though income tax is levied by the jurisdiction.

C TIN is not required to be reported by such jurisdiction under local law (**does not apply to South Africa or the United States**).

D Registration in progress.

1.6 Tax exemption details

Dividend withholding tax

We must withhold dividend withholding tax from South African dividends that we receive for the investment. SARS allows certain investors to be exempt according to section 64F, 64H(2)(*b*) of the Income Tax Act.

Do you qualify for this exemption? Yes ◯ No ◯

If **'Yes'**, choose the reasons from the list below.

☐ Section 64F(1)(*j*) - a person who is not an SA tax resident, and the dividend is a dividend contemplated in paragraph (b) of the definition of "dividend" in section 64D (ie a dividend on a foreign company's shares listed on a recognised South African stock exchange, such as dual-listed shares) — SARS exemption code J

☐ Section 64F(1)(*l*) - any person to the extent that the dividend constitutes income of that person.
Note: if the investor is a tax resident in South Africa, the investor qualifies for this exemption on certain dividends (eg Real Estate Investment Trust (REIT)) and must select it to avoid double taxation. — SARS exemption code L

SARS also allows for certain investors to qualify for a reduced dividend withholding tax rate under Double Taxation Agreements.

Do you qualify for a reduced rate? Yes ◯ No ◯

The requirements of Article ☐☐☐☐☐ of the Agreement for the Avoidance of Double Taxation and Prevention of Fiscal Evasion (DTA) in force between the Republic of South Africa and the investor's country of tax residence, and sections 64FA, 64G or 64H of the South African Income Tax Act no. 58 of 1962 have been met. Dividends must be taxed at a reduced rate of ☐☐.☐☐ %

Interest withholding tax

We must withhold interest withholding tax for foreign investors on interest received from a South African source that is subject to interest withholding tax. SARS allows certain investors to be exempt according to section 50D(3) of the Income Tax Act.

Do you qualify for this exemption? Yes ◯ No ◯

If **'Yes'**, choose the reasons from the list below.

☐ 50D(3)(*a*) – the beneficial owner of the investment is a foreign natural person who was physically present in the Republic of South Africa for a period exceeding 183 days in aggregate during the twelve month period preceding the date on which the interest is paid.

☐ 50D(3)(*b*) – the debt claims for which that interest is paid is effectively connected with a permanent establishment of that foreign person in the Republic, if that foreign person is registered as a taxpayer in terms of Chapter 3 of the Tax Administration Act.

SARS also allows for certain investors to qualify for a reduced interest withholding tax rate under Double Taxation Agreements.

Do you qualify for a reduced rate? Yes ◯ No ◯

The requirements of Article ☐☐☐☐☐ of the Agreement of the Avoidance of Double Taxation and Prevention of Fiscal Evasion (DTA) in force between the Republic of South Africa and the investors country of tax residence, and sections 50E(3) of the South African Income Tax Act no. 58 of 1962 have been met. Interest, which is subject to interest withholding tax, must be taxed at a reduced rate of ☐☐.☐☐ %

2 Proxy details

I act as ☐ Parent ☐ Legal guardian ☐ Curator ad litem (legal proceedings) ☐ Curator bonis (financial and property affairs)
☐ Curator personae (personal welfare and medical decisions) ☐ Other, give details

2.1 Personal details

Title | Initials | First name(s)

Surname | Previous surname(s)

ID/Passport number (if foreign national) | Date of birth (ddmmyyy)

Passport expiry date (ddmmyyyy) | Passport country of issue

Nationality | Country of birth

Country of residence

Physical address
Unit number | Complex
Street number | Street name/farm
Suburb/district | City/town
Postal code | Country code

2.2 Communication details

Cell phone number (incl country code) + | Other +

Email address

To protect your information and ensure speedy delivery, we will communicate with you electronically.

We will publish the investment statements online on the investor's client profile at momentum.co.za. We will contact you electronically when we have published it.

2.3 Politically-exposed or a prominent influential person

Indicate which of the following applies to you:	You are a	You are a family member of or known close associate to a
Domestic politically-exposed person	☐	☐
Foreign politically-exposed person	☐	☐
Prominent influential person	☐	☐
None of the above	☐	

If you are a politically-exposed or prominent influential person, provide the position held

If you are a family member or known close associate to a politically-exposed or prominent influential person, provide their details below:

Name | Surname | Position held

2.4 Proxy's source of income/wealth

Tell us where the money you use to **support yourself** comes from. You may indicate more than one source:

- ☐ Salary/royalties
- ☐ Savings
- ☐ Inheritance/compensation/divorce settlement
- ☐ Sale of investment/property
- ☐ Import and/or export business
- ☐ Business activities/sale of business
- ☐ Policy as a beneficiary
- ☐ Policy benefit (matured/as a claim/replacement)
- ☐ Retirement fund proceeds (member or beneficiary)
- ☐ Trust
- ☐ Sale of other assets/donation/gift
- ☐ Loan
- ☐ Winnings (i.e Lotto, casino, etc)
- ☐ Other (specify)

Which country is the origin of your source of funds?

What is the nature of your business or employment sector?

- ☐ Accommodation and food service activities
- ☐ Administrative and support service activities
- ☐ Agriculture, forestry and fishing
- ☐ Arms, defence, military or security service industries
- ☐ Arts, entertainment and recreation
- ☐ Banks, investment banking, bureau de change, brokerage service
- ☐ Casinos, bookmakers, gambling, adult entertainment
- ☐ Commercial shipping
- ☐ Construction
- ☐ Consumer service activities
- ☐ Dealing in precious stones, metals or luxury goods
- ☐ Education
- ☐ Electricity, gas, steam and air conditioning supply
- ☐ Financial and insurance activities
- ☐ Human health and social work activities
- ☐ Information and communication
- ☐ Internet gaming
- ☐ Manufacturing
- ☐ Mining and quarrying (excl South Africa)
- ☐ Mining and quarrying (South Africa)
- ☐ Professional, scientific and technical services
- ☐ Public administration and compulsory social security
- ☐ Public infrastructure construction
- ☐ Real estate activities
- ☐ Retired, student or minor
- ☐ Tobacco or cannabis industry
- ☐ Transportation and storage
- ☐ Unemployed
- ☐ Water supply, sewerage, waste management and remediation activities
- ☐ Wholesale and retail trade and repairs
- ☐ Wildlife trade
- ☐ Other (specify)

3 Investment details

You can choose to invest an initial lump sum, a regular investment, a unit transfer or a combination of these.

Indicate your choice below and only complete corresponding section(s).

3.1 Lump sum investment ☐ 3.2 Regular investment ☐ 3.3 Unit transfer ☐

3.1 Lump sum investment

Lump sum amount R | | | | | | | | | | . | | |

Are you, the proxy, paying the lump sum amount? Yes ◯ No ◯

If **'Yes'**, complete payment details section.
If **'No'**, the investment payer must complete the **Momentum Collective Investments Third-party investment payer details** form in addition to this form.

Payment details

Read the information below before you choose a payment option.

Payment method Collect from bank account (up to R2 million) ☐ EFT ☐

Collect from bank account	We will debit your account within two business days after accepting your application.
EFT	We need a copy of the EFT confirmation before we can finalise your investment application. The waiting period on the units that we sell or transfer with the transferred amount is seven days.
Withdrawals/ refunds	Once we have received the investment amount, you can only ask for a withdrawal or refund after 60 days. The waiting period on the units that we buy with the amount we collect is 60 days.

Bank account details

Complete your South African bank account details. We do not collect from a credit card or a bond account.

Bank name | Account number

Type of account | Current/cheque ◯ Savings ◯

Collection date (ddmmyyyy)

If the collection day falls on a weekend or public holiday, the collection day will automatically be on the following business day.
The reference number on your bank account will be a combination of MOMCI (an abbreviation of Momentum Collective Investments) and the RU investment number, for example MOMCI RU123456789.

Source of the lump sum investment.

Tell us where the **lump sum investment money** comes from. You may indicate more than one source:

☐ Salary/royalties	☐ Savings	☐ Inheritance/compensation/divorce settlement
☐ Sale of investment/property	☐ Import and/or export business	☐ Business activities/sale of business
☐ Policy as a beneficiary	☐ Policy benefit (matured/as a claim /replacement)	☐ Retirement fund proceeds (member or beneficiary)
☐ Trust	☐ Sale of other assets/donation/gift	☐ Loan
☐ Winnings (ie Lotto, casino, etc)	☐ Other (specify)	

Which country is the origin of your source of funds?

3.2 Regular investment

We will collect the regular investment by debit order. You can only ask for a withdrawal or refund 60 days after we have collected the regular investment.

Regular amount R . | every month ◯ | every quarter ◯ | every half year ◯ | every year ◯

Month of increase | Yearly increase . %

Are you, the proxy, the person paying the regular investment? Yes ◯ No ◯

If **'No'**, the investment payer must complete the **Momentum Collective Investments Third-party investment payer details** form in addition to this form.

If **'Yes'**, and the investment payer of the lump is also the proxy and we were asked to collect from their bank account, must we use the same bank account?

Yes ◯ No ◯

If **'No'**, complete the bank details below.

Bank account details

Complete your South African bank account details. We do not collect from a credit card or a bond account.

Bank name | Account number

Type of account | Current/cheque ◯ Savings ◯

Collection day of the month(dd) | First collection date (ddmmyyyy)

If the collection day falls on a weekend or public holiday, the collection day will automatically be on the following business day.
The reference on your bank account will be a combination of MOMCI (an abbreviation of Momentum Collective Investments) and the RU investment number, for example MOMCI RU123456789.

Source of the regular investment.

Tell us where the **regular investment money** comes from. You may indicate more than one source:

☐ Salary/royalties	☐ Savings	☐ Inheritance/compensation/divorce settlement
☐ Sale of investment/property	☐ Import and/or export business	☐ Business activities/sale of business
☐ Policy as a beneficiary	☐ Policy benefit (matured/as a claim /replacement)	☐ Retirement fund proceeds (member or beneficiary)
☐ Trust	☐ Sale of other assets/donation/gift	☐ Loan
☐ Winnings (ie Lotto, casino, etc)	☐ Other (specify)	

Which country is the origin of your source of funds?

3.3 Unit transfer

Momentum Collective Investments (MCI) will transfer the instructed units within two business days and record the transaction for the effective date on which we transferred the units at that date's unit price.

Give the MCI investment numbers that you are transferring units from.

RU RU RU

4 Regular withdrawal

If you would like to receive a regular withdrawal from this investment, complete this section.

Sell units to the value of R . every month ◯ every quarter ◯ every half year ◯ every year ◯

Month of increase Yearly increase R .

Withdrawal day of the month (dd) First date of withdrawal (ddmmyyyy)

Into which bank account must we pay your regular withdrawal amounts?

Bank account that is used for the lump sum amount ☐ Bank account that is used for the regular investment amount ☐

Bank account below ☐

Complete your South African bank account details below. We do not pay to a credit card or a bond account.

Bank name Account number

Type of account Current/cheque ◯ Savings ◯

☐ Split my withdrawal proportionately from all funds on my investment

☐ Split it as specified in section 6

5 Fund distributions

You can choose to reinvest these distributions or we can pay into your bank account.

If you choose distributions to be paid out to you, which bank account must we use?

Bank account that is used for the lump sum amount ☐ Bank account that is used for the regular investment amount ☐

Bank account that is used for the regular withdrawals ☐ Bank account below ☐

Complete your South African bank account details below. We do not pay to a credit card or a bond account.

Bank name Account number

Type of account Current/cheque ◯ Savings ◯

6 Investment instruction

The MDD of each fund has more information about the fund and its fees. Refer to momentum.co.za/collectiveinvestments

We will invest in the default retail class of a fund if you do not specify the fee class.

Fund name	Fee class	Lump sum amount	Regular amount	Regular withdrawal	Fund distribution Invest or Pay
		R	R	R	or
		R	R	R	or
		R	R	R	or
		R	R	R	or
		R	R	R	or
		R	R	R	or
		R	R	R	or

7 Fees

Fund manager charges

All fund manager charges that apply to your investment are available on the relevant MDD of the investment fund. You can also ask your financial adviser for more details.

Financial adviser fees

We calculate the ongoing adviser fee using the total fund value every month. Refer to the table below for maximum permissible combinations of initial and ongoing adviser fees.

We will add VAT to the following fee percentage if the financial adviser fee is subject to VAT.

Maximum adviser fees are subject to restrictions shown in the MDDs.

Initial fee (excl VAT)	with a	ongoing fee (excl VAT)
0 – 0.9%	and	1.00%
1% – 1.9%	and	0.80%
2% – 2.9%	and	0.60%
3%	and	0.50%

Pay my financial adviser: Initial adviser fee (excl VAT) ☐☐.☐☐ %

Ongoing adviser fee (excl VAT) ☐☐.☐☐ % per year

8 Investor declaration

1. I know that I must get advice during all stages of my investment. My financial adviser and I are responsible for making sure that I receive and understand everything that is relevant to this investment. I accept the risks related to this investment if I refuse advice.
2. I confirm that neither MCI, nor any of its employees have provided any advice for this investment.
3. I acknowledge that investment performance is not guaranteed and, if my investment is exposed to market risk, its value may fluctuate.
4. I understand and accept:
   - your timelines, business practices and administrative processes;
   - that you can invest an amount when you have collected it or once a deposit reflects in your bank account; and
   - that you can share personal information (as this term is defined in the Protection of Personal Information Act) within your holding company, its subsidiaries and contracted service providers in order to administer my investment and give me information to help me on my journey to success.
5. I hold no other citizenships and residencies for tax purposes, other than those disclosed on this form.
6. I am responsible to promptly notify MCI should there be any changes to the information I have provided.

Investor declaration for adviser fees and appointment

7. I know that my financial adviser must be appropriately licenced under the Financial Advisory and Intermediary Services (FAIS) Act.
   If my financial adviser makes decisions for me as a licenced Category II discretionary financial services provider, I instruct you to:

   ☐ accept instructions that my financial adviser signs on my behalf ☐ accept instructions with my signature only
8. I understand that the chosen adviser fees are negotiated between me and my appointed financial adviser and I instruct you to pay my financial adviser the agreed adviser fees from my investment as confirmed in section 7. I understand that you will use the adviser fees for this and any future instructions until I give you written instruction that these no longer apply. I cannot hold you liable for acting on the negotiated fees when you follow instructions.
9. I have carefully read the adviser appointment and confirm that the information filled in is true and correct. I will not hold you responsible if the appointment you received was changed without my consent or knowledge after I signed it.

I have carefully read, and I understand and accept this application, the terms, fee schedules, minimum disclosure documents and marketing material that apply to this investment. The information I completed is true and correct. I will not hold you liable for any loss or damage if the application you received was changed without my consent or knowledge after I signed it.

Authorised signatory

Name and surname | Capacity of signatory

Signed at | Date (ddmmyyyy) ☐☐☐☐☐☐☐☐

Authorised signatory

9 Proxy declaration

1. I confirm that I am authorised to act on behalf of the investor.
2. I know that I must get advice during all stages of my investment. My financial adviser and I are responsible for making sure that I receive and understand everything that is relevant to this investment. I accept the risks related to this investment if I refuse advice.
3. I confirm that neither MCI, nor any of its employees have provided any advice for this investment.
4. I acknowledge that investment performance is not guaranteed and, if my investment is exposed to market risk, its value may fluctuate.
5. I hereby authorise MCI to collect payment from my bank account as specified, at the above-mentioned bank.
6. If I reverse a collection instruction at my bank after financial instruments have been purchased on my behalf, the cancelled collection will not constitute a valid contribution and I may be held liable for any losses MCI may incur.
7. If I am also an investment payer, I agree that for this investment:
   - I can sign for this bank account;
   - you can check my details with my bank; and
   - collect money from my bank account.
8. I have read and understood the amount, frequency, payment method, recipient of the applicable fees, details of the services provided in exchange for each fee, and by signing this application form, I consent to the levying of such fees.
9. I understand and accept:
   - your timelines, business practices and administrative processes;
   - that you can invest an amount when you have collected it or once a deposit reflects in your bank account; and
   - that you can share personal information (as this term is defined in the Protection of Personal Information Act) within your holding company, its subsidiaries and contracted service providers in order to administer my investment and give me information to help me on the journey to success.
10. I hold no other citizenships and residencies for tax purposes, other than those disclosed on this form.
11. I acknowledge that you may be required to send the information I provided in this form to SARS, who may share it with the local tax authority according to the Foreign Account Tax Compliance Act (FATCA) and the Organisation for Economic Co-operation and Development's (OECD) Common Reporting Standard (CRS).
12. I consent to MCI collecting, collating and storing the information provided by me as well as information that may be lawfully obtained from third parties. I confirm that I understand that MCI requires the information for the purpose of providing the product referred to in this application form, including ancillary benefits thereto, to me. I consent to the sharing of the information with any third party that is required to obtain the information in order to provide the product and/or ancillary benefit to me, on condition that any such third party adheres to the laws governing the confidentiality of personal information. I understand and acknowledge that I may withdraw my consent herein at any time, although such withdrawal may not necessarily result in the deletion of the information, as MCI may have to continue collecting, collating and storing the information as may be required of it by law. I am aware that further details of my rights in relation to my personal information, including the use and protection of the information, complaints and escalation procedures, are available on Momentum's website on momentum.co.za
13. I am responsible to promptly notify MCI should there be any changes to the information I have provided.

Investor declaration for adviser fees and appointment

14. I know that my financial adviser must be appropriately licenced under the Financial Advisory and Intermediary Services (FAIS) Act. If my financial adviser makes decisions for me as a licenced Category II discretionary financial services provider, I instruct you to:

    ☐ accept instructions that my financial adviser signs on my behalf ☐ accept instructions with my signature only

15. I understand that the chosen adviser fees are negotiated between me and my appointed financial adviser and I instruct you to pay my financial adviser the agreed adviser fees from my investment as confirmed in section 7. I understand that you will use the adviser fees for this and any future instructions until I give you written instruction that these no longer apply. I cannot hold you liable for acting on the negotiated fees when you follow instructions.
16. I have carefully read the adviser appointment and confirm that the information filled in is true and correct. I will not hold you responsible if the appointment you received was changed without my consent or knowledge after I signed it.

I have carefully read, and I understand and accept this application, the terms, fee schedules, minimum disclosure documents and marketing material that apply to this investment. The information I completed is true and correct. I will not hold you liable for any loss or damage if the application you received was changed without my consent or knowledge after I signed it.

Authorised signatory

Name and surname		Capacity of signatory	
Signed at		Date (ddmmyyyy)	

Authorised signatory

10 Financial adviser appointment

10.1 Financial adviser details

Servicing financial adviser (for FAIS product licence compliance checks)

Name and surname	
Momentum financial adviser code	
FSP name	
Momentum FSP house code	
Momentum consultant code	
Initial adviser fee (excl VAT)	. %
Ongoing adviser fee (excl VAT)	. %

Additional advisers

	Additional adviser 1	Additional adviser 2
Name and surname		
Momentum financial adviser code		
FSP name		
Momentum FSP house code		
Momentum consultant code		
Initial adviser fee (excl VAT)	. %	. %
Ongoing adviser fee (excl VAT)	. %	. %

Contact details of servicing financial adviser

Cell phone number (incl country code)	+ Other +
Email address	

10.2 Financial adviser declaration

1. I confirms that I have verified the authority of the person acting on behalf of the investor.
1. I am a registered financial adviser, duly licensed for the relevant categories and sub-categories applicable to this transaction.
2. I provided and explained all documents, fees and charges that apply to this investment before this form was signed.
3. In addition to intermediary services, I have also provided:
   - ☐ advice (I have a FAIS Category I licence)
   - ☐ discretionary investment management (I have a FAIS Category II licence)
4. Any instruction I give you will be within the limits of the signed mandate I have from the investor.
5. I have read this application, the terms, the fee and benefit proposal, fee schedule and the marketing material that apply to this investment.
6. The information on this application is to my knowledge, true and correct.
7. I will not hold you liable for any loss or damage if this application was changed without my consent or knowledge after I signed it.

Signed at | Date (ddmmyyyy)

Signature of financial adviser

Contact details

Client contact centre
ShareCall: 0860 111 899, Telephone: +27 (0)12 675 3002, Email: ci.clientservice@momentum.co.za
Address: 268 West Avenue Centurion 0157, Postal: PO Box 7400 Centurion 0046, Website: momentum.co.za/collectiveinvestments

Momentum Collective Investments (RF) (Pty) Ltd is an approved CISCA management company