

Investment number

R	U								
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Momentum Collective Investments (RF) (Pty)Ltd

Additional lump sum investment

Your instruction

1. Banking regulations limit collections to a maximum amount of R1 million. If you want to invest more than R1 million the only available payment option is an electronic funds transfer (EFT) to our bank account.
2. You may not change any part of this form. When you correct any information you have completed, sign next to it.
3. Based on the information you provide, we may ask for additional information and documents.
4. If we cannot process any part of this instruction, we will inform you or your financial adviser.
5. We will finalise your instruction once your payment reflects in our bank account and all requirements have been met.

Guide to completing this form

If you are completing this form on behalf of someone else, we also need **Personal details declaration** form if you have not yet given it to us or if any of your information changed.

Cut-off times

1. Please note that if an instruction and documents received are in order, the following cut-off times apply:
 - For money market funds the daily cut-off time is 10:00.
 - For all other funds the daily cut-off time is 14:00.
2. If we cannot process the transaction on the same day, it will take place on the next business day or at the earliest possible opportunity according to legislation, our administration processes and any other unit trust limitations. We will determine the fund's price at the confirmed day's net asset value (NAV) unit price.

Politically-exposed or a prominent influential person

The Financial Intelligence Centre Act (FICA) requires that we know if you are an influential person as explained in the Act.

A politically-exposed person is an individual who is or has been entrusted with prominent public functions for example, a senior politician, high ranking member of the military or police force, leader of a foreign political party, any individual who has sole beneficial ownership of a corporate vehicle set up for the actual benefit of the prominent person or an individual who is related to a politically-exposed or prominent influential person.

Payment information we may need

1. If we cannot verify the bank details that you provide, we will ask you for official proof of this account not older than three months.
2. We will confirm our bank account details if you choose to pay your investment amount to our bank account. Use the RU investment number as the reference number when you make the payment.
3. We will finalise your instruction once your payment reflects in our bank account and all requirements have been met.
4. If the investment payer is not the investor on this instruction, complete the **Momentum Collective Investments Payer details** form in addition to this form.

I am requesting this ☐ for myself
☐ on behalf of someone else like an incapacitated person (complete **Personal details declaration** form).

1 Investor details

1.1 Personal/entity details

Title	<input type="text"/>	Initials	<input type="text"/>	First name(s)	<input type="text"/>								
Surname/ name of entity (registered name)	<input type="text"/>												
Previous surname(s)/trading name(s)	<input type="text"/>												
ID number/registration number (Passport number if foreign national)	<input type="text"/>												
Date of birth/registration date	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>					D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y						
Income tax reference number	<input type="text"/>												

Has your physical address changed? Yes ☐ No ☐

Has your politically-exposed or a prominent influential person status changed? Yes ☐ No ☐

Has your contact details (cell phone number or email address) changed? Yes ☐ No ☐

If you answered 'Yes', to any of the above, please provide us with your **Personal details declaration** or **Entity details declaration** form.

1.2 Communication details

Details of the contact person

Title	<input type="text"/>	Initials	<input type="text"/>	Name and surname	<input type="text"/>
Capacity of the contact person (if an entity)	<input type="text"/>				
Cell phone number	<input type="text"/>			Other	<input type="text"/>
Email address	<input type="text"/>				

To protect your information and ensure speedy delivery, we will communicate with you electronically.

2 Tax and regulatory information

What is your source of income/wealth or lump sum investment amount?

Tell us where the investment money and the money you use to support yourself comes from. You may indicate more than one source:

<input type="checkbox"/> Salary/royalties	<input type="checkbox"/> Savings	<input type="checkbox"/> Inheritance/compensation/divorce settlement
<input type="checkbox"/> Sale of investment/property	<input type="checkbox"/> Import and/or export business	<input type="checkbox"/> Business activities/sale of business
<input type="checkbox"/> Policy as a beneficiary	<input type="checkbox"/> Policy benefit (matured/as a claim/replacement)	<input type="checkbox"/> Retirement fund (member or beneficiary) proceeds
<input type="checkbox"/> Trust	<input type="checkbox"/> Sale of other assets/donation/gift	<input type="checkbox"/> Loan
<input type="checkbox"/> Winnings (ie Lotto,casino,etc)	<input type="checkbox"/> Other (specify)	<input type="text"/>

Which country is the origin of your source of funds?

3 Additional lump sum details

Additional lump sum amount R

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Are you the person paying the lump sum investment? Yes ☐ No ☐

If 'Yes', complete **Payment details** section.

If 'No', complete the **Momentum Collective Investments Payer details** form.

3.1 Payment details

Read the information below before you choose a payment option.

Payment method	Collect from bank account (up to R1 million)	<input type="checkbox"/>	EFT	<input type="checkbox"/>
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Collect from bank account	<p>We will debit your account within two business days after accepting of your instruction.</p> <p>The waiting period on the units that we buy with the amount we collect is 45 days.</p> <p>The reference on your bank account is a combination of MOMCI (an abbreviation of Momentum Collective Investments) and the RU investment number, eg MOMCI RU 123456789.</p>
EFT	We need the electronic funds transfer from the bank before we can finalise your instruction. The waiting period on the units that we sell or transfer with the transferred amount is seven days.
Withdrawals/ refunds	If we collect the investment amount, you can only ask for a withdrawal or refund after 45 days.

Bank account details

Complete your South African bank account details. We do not collect from a credit card or a bond account.

Bank name Account number

Type of account Current/cheque ☐ Savings ☐

Collection date

D	D	M	M	Y	Y	Y	Y
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 If the collection day falls on a weekend or public holiday, the collection day will automatically be on the following business day.

Indicate the rand amount per fund in the table below.

Fund name	Fee class	Amount
		R .
		R .
		R .
		R .
		R .

4 Investor declaration

1. I confirm that I am authorised to sign this instruction.
2. I confirm that I was provided with the minimum disclosure documents (MDDs) prior to transacting.
3. I hereby authorise Momentum Collective Investments (RF) (Pty) Ltd to collect payment from my bank account specified at the above-mentioned bank.
4. If I reverse a collection instruction at my bank after financial instruments have been purchased on my behalf, the cancelled collection will not constitute a valid contribution and I may be held liable for any losses Momentum Collective Investments (RF) (Pty) Ltd may incur.
5. I agree that for this instruction:
 - I can sign for this bank account;
 - you can check the bank details;
 - you can collect money from the bank account; and
 - if any law or rule changes, that you will act accordingly.

6. I understand and accept:

- the fees and charges applicable to this investment and the instruction;
- your timelines, business practices and administrative processes;
- that you can invest an amount when you have collected it or once a deposit reflects in your bank account; and
- that you can share personal information (as this term is defined in the Protection of Personal Information Act) within your holding company, its subsidiaries and contracted service providers in order to administer this investment and give me information to help me on the journey to financial success.

7. I acknowledge that you may be required to send the information provided to South African Revenue Service (SARS), who may share it with the local tax authority according to the Foreign Account Tax Compliance Act (FATCA) and the Organisation for Economic Co-operation and Developments (OECD) Common Reporting Standard (CRS).

8. I will let you know if any of the information I gave you changes.

I have carefully read and I understand this additional lump sum instruction. The information I completed is true and correct. I will not hold you liable for any loss or damage if the instruction you received was changed after I signed it.

Name and surname	<input type="text"/>															
Capacity of signatory	<input type="text"/>															
Signed at	<input type="text"/>								Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Authorised signatory	<input type="text"/>								Other signature if required	<input type="text"/>						

5 Financial adviser

5.1 Financial adviser details

Servicing financial adviser (for FAIS product licence compliance checks)

Name and surname	<input type="text"/>												
Financial adviser code	<input type="text"/>												
FSP name	<input type="text"/>												
FSP code	<input type="text"/>												
Momentum consultant code	<input type="text"/>												
Fee reference	<input type="text"/>												
Initial adviser fee (excl VAT)	<input type="text"/>	.	<input type="text"/>	<input type="text"/>	<input type="text"/>	%	Ongoing adviser fee (excl VAT)	<input type="text"/>	.	<input type="text"/>	<input type="text"/>	<input type="text"/>	%

Additional adviser

Name and surname	<input type="text"/>												
Financial adviser code	<input type="text"/>												
FSP name	<input type="text"/>												
FSP code	<input type="text"/>												
Momentum consultant code	<input type="text"/>												
Fee reference	<input type="text"/>												
Initial adviser fee (excl VAT)	<input type="text"/>	.	<input type="text"/>	<input type="text"/>	<input type="text"/>	%	Ongoing adviser fee (excl VAT)	<input type="text"/>	.	<input type="text"/>	<input type="text"/>	<input type="text"/>	%

Contact details of servicing financial adviser

Cell phone number	<input type="text"/>	+	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Other	<input type="text"/>	+	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Email address	<input type="text"/>																											

5.2 Financial adviser declaration

1. I explained this additional lump sum instruction to the investor before the investor signed.
2. I am a registered Financial Adviser, duly licensed for the relevant categories and sub-categories applicable to this transaction.
3. Any instruction I give you will be within the limits of the mandate I have from the investor.
4. The information on this instruction is to my knowledge, true and correct.

Signed at

Date

D	D	M	M	Y	Y	Y	Y
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Signature of financial adviser

Contact details

Client contact centre

ShareCall: 0860 111 899, Telephone: +27 (0)12 675 3002, Email: ci.clientservice@momentum.co.zaAddress: 268 West Avenue Centurion, 0157, Postal: PO Box 7400 Centurion, 0046, Website: momentum.co.za/collectiveinvestments**Momentum Collective Investments (RF) (Pty) Ltd is an approved Cisca management company**